Chapter 4: Threads

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Chapter 4: Threads



Multithreading Models

Thread Libraries

Threading Issues

Operating System Examples





What is a thread?

A *thread*, also known as *lightweight process* (LWP), is a basic unit of CPU execution.
 A thread has a thread ID, a program counter (instruction pointer), a register set, and a stack. Thus, it is similar to a process has.



 Single and Multi-threaded Processes
 A process, or heavyweight process, has a single thread of control after its creation. As more threads are created, a thread shares with other threads in the same process its code section, data section, and other OS resources (*e.g.*, files and signals).



Operating System Concepts

single-threaded

Items shared by all threads in a process	Items private to each thread
Per process items Address space Global variables Open files Child processes Pending alarms Signals and signal handlers Accounting information	Per thread items Program counter Registers Stack State
PCB regs. stack S Stack S Stack S Stack S Stack S Stack S S S S S S S S S S S S S	gs. ack per-thread exec context



Why Do We Use Threads? Thread Usage (1)

- To simplify programs in which multiple activities go on at once.
- Performance gain, when there is substantial amounts of both computing and I/O.



Why Do We Use Threads? Thread Usage (2)

基于HTTP协议的浏览器和Web服务器交互过程

- 1. 客户端浏览器向网站所在的服务器发送一个请求
- 2. 网站服务器接收到这个请求后进行解析
- 浏览器中包含网页的源代码等内容(浏览器缓存中),浏览器再对其进行解析,最终呈现结果给用户



Why Do We Use Threads? Thread Usage (3)

Rough outline of code
for previous slide
(a) Dispatcher thread
(b) Worker thread
Note: An Event-Driven Framework

while (TRUE) {
 get_next_request(&buf);
 handoff_work(&buf);

(a)



while (TRUE) {
 wait_for_work(&buf)
 look_for_page_in_cache(&buf, &page);
 if (page_not_in_cache(&page)
 read_page_from_disk(&buf, &page);
 return_page(&page);
}





Responsiveness

Resource Sharing

Economy

Utilization of MP Architectures





Economy for Creation

 Compare timing of fork() and pthread_create()
 Timings reflect 50,000 process/thread creations, were performed with the time utility, and units are in seconds, no optimization flags.

Platform	fork()			pthread_create()		
	real	user	sys	real	user	sys
AMD 2.4 GHz Opteron (8cpus/node)	41.07	60.08	9.01	0.66	0.19	0.43
IBM 1.9 GHz POWER5 p5-575 (8cpus/node)	64.24	30.78	27.68	1.75	0.69	1.10
IBM 1.5 GHz POWER4 (8cpus/node)	104.05	48.64	47.21	2.01	1.00	1.52
INTEL 2.4 GHz Xeon (2 cpus/node)	54.95	1.54	20.78	1.64	0.67	0.90
INTEL 1.4 GHz Itanium2 (4 cpus/node)	54.54	1.07	22.22	2.03	1.26	0.67

Operating System Concepts April 2009/02/04/1930708.html



Process (notes: Process Control Block in OS Kernel)

Light-weight Process and Kernel Threads

User Threads

Lower Cost in Creation and Context Switching





User Threads

Thread management done by user-level threads library

 Context switching of threads in the same process is done in user mode

Examples

- **POSIX** *Pthreads* (see scope parameter of pthread_create: PTHREAD_SCOPE_PROCESS or PTHREAD_SCOPE_SYSTEM)
- Mach C-threads
- Solaris UI-threads

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User Threads (Cont.)

A user-level thread library provides all support for thread creation, termination, joining, and scheduling Thread Process





Operating System Concepts

Pros and Cons of User Threads

User threads are supported at the user level. The kernel is not aware of user threads.

Because there is no kernel intervention, user threads are usually more efficient.

Unfortunately, since the kernel only recognizes the containing process (of the threads), *if one thread is blocked, each other threads of the same process are also blocked* since the containing process is blocked.

Question: Can two user threads in a same process run simultaneously on two different CPU cores?



Kernel Threads

Supported by the Kernel

Examples

- Windows 95/98/NT/2000
- Solaris
- Tru64 UNIX
- BeOS
- Linux and POSIX Thread





Kernel Threads (Cont.)

- Kernel threads are directly supported by the kernel. The kernel does thread creation, termination, joining, and scheduling in kernel space.
- Kernel threads are usually slower than the user threads.
- However, blocking one thread will not cause other threads of the same process to block. The kernel simply runs other threads.
- In a multiprocessor environment, the kernel can schedule threads on different processors

Examplementing Threads in the Kernel



operating the www.yolinux.com/TUTORIALS/LinuxTutorialPassix-Tibread



Chapter 4: Threads

Overview

- Multithreading Models
- Threading Issues
- Windows XP Threads
- Linux Threads
- Java Threads
- Pthreads
- Windows Threads API





Multithreading Models

- Many-to-One
- One-to-One
- Many-to-Many





Many-to-One

Many user-level threads mapped to a single kernel thread.



Operating System Concepts

Many-to-One Model (Cont.)



One-to-One

Each user-level thread maps to kernel thread



Examples

- Windows 95/98/NT/2000





One-to-one Model (Cont.)



Many-to-Many Model

Allows many user level threads to be mapped to many kernel threads.

Allows the operating system to create a sufficient number of kernel threads.



Windows NT/2000 with ThreadFiber package

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Many-to-Many Model (Cont.)







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Operating System Concepts

Solaris process

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Windows XP Threads

Implements the one-to-one mapping.

- Each thread has a corresponding thread control block in kernel, which contains
 - a thread id separate user and kernel stacks
 - register set private data storage area



Linux Threads (not POSIX pthreads Library)

- Linux refers to them as tasks rather than threads.
- Thread creation is done through clone() system call.
- Clone() allows a child task to share the address space of the parent task (process)

What is the difference between fork() and clone()?

http://linux.die.net/man/2/clone

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Java Threads

Java threads may be created by:

- Extending Thread class
- Implementing the Runnable interface
- Java threads are managed by the JVM.
- Java Thread States





Chapter 4: Threads

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Pthreads

Windows Threads API



Pthreads a POSIX standard (IEEE 1003.1c) API for thread creation and synchronization. \diamond API specifies behavior of the thread library, Implementation is up to development of the library **POSIX 1003.1 Commands:** http://www.unix.com/man-page-posix-repository.php Common in UNIX operating systems. Implemented over Linux operating system by Native POSIX Thread Library (NPTL) \diamond NPTL is a 1 \times 1 threads library, in that threads created by the user are in 1-1 correspondence with schedulable entities (i.e., task) in the keme 用这个library可以在linux部署M:N的线程模型。 https://en.wikipedia.org/wiki/Na https://github.com/samanbarghi/uThreads otiveng By SIX cet hread_Library



pthread_create

int pthread_create(tid, attr, function, arg);

pthread_t * tid

handle or ID of created thread

const pthread_attr_t *attr

attributes of thread to be created

void *(*function) (void*)

function to be mapped to thread

void *arg

single argument to function

Integer return value for error code





pthread_create explained

spawn a thread running the function;
thread handle returned via pthread_t structure
specify *NULL* to use default attributes

a single argument sent to function
If no argument to function, specify NULL

check error codes!

EAGAIN – insufficient resources to create thread

EINVAL – invalid attribute



Threads states

pthread threads have two states

joinable and detached

threads are joinable by default

- Resources are kept until pthread_join.
- When a joinable thread terminates, some of the thread resources are kept allocated, and released only when another thread performs *pthread_join* on that thread.

can be reset with attribute or API call

detached thread can not be joined

resources can be reclaimed at termination.

cannot reset to be joinable





Waiting for a thread

int pthread_join(tid, val_ptr);

pthread_t *tid handle of joinable thread

void **val_ptr

exit value returned by joined thread




pthread_join explained

calling thread waits for the thread with handle tid to terminate

- only one thread can be joined
- thread must be joinable
- exit value is returned from joined thread
- Type returned is (void *)
- use NULL if no return value expected

ESRCH – thread not found EINVAL – thread not joinable



Example 1

```
Q1: Guess what are the
    #include <stdio.h>
1
                                     possible outputs?
    #include <assert.h>
2
    #include <pthread.h>
3
4
    void *mythread(void *arg) {
5
        printf("%s\n", (char *) arg);
6
                                     Q2: What if we remove the two
        return NULL;
7
8
                                     Pthread_join() function calls?
9
                                     Note: the termination of main
    int
10
    main(int argc, char *argv[]) {
11
                                     thread will cause the automatic
12
        pthread_t p1, p2;
        int rc;
13
                                     termination of children threads
        printf("main: begin\n");
14
        rc = pthread_create(&p1, NULL, mythread, "A"); assert(rc == 0);
15
        rc = pthread_create(&p2, NULL, mythread, "B"); assert(rc == 0);
16
        // join waits for the threads to finish
17
        rc = pthread_join(p1, NULL); assert(rc == 0);
18
        rc = pthread join(p2, NULL); assert(rc == 0);
19
        printf("main: end\n");
20
        return 0;
21
22
```

A Quiz about fork() and pthread_create()

```
What are the outputs of the program?
                              int main(int argc, char *argv[])
#include <pthread.h>
#include <stdio.h>
                                int pid;
                                 pthread_t tid;
int value = 0;
                                pid = fork();
                                if (pid == 0) {
void *runner(void *param){
                                   pthread_create (&tid, NULL, runner, NULL);
  value = value + 10;
                                   pthread_join (tid, NULL);
 pthread_exit(0);
                                   printf ("CHILD: value = % d\n", value); /*Print 1*/
                                 } else if (pid > 0) {
                                   value = value - 10;
                                   wait(NULL);
                                   printf("PARENT: value = %d n", value); /*Print 2*/
      Answer:
                     CHILD: value = 10
                     PARENT: value = -10
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```



Example 2

volatile int counter = 0; // shared global variable

```
void *
14
    mythread(void *arg)
15
16
    {
        printf("%s: begin\n", (char *) arg);
17
        int i;
18
        for (i = 0; i < 1e7; i++) {
19
             counter = counter + 1;
20
21
        printf("%s: done\n", (char *) arg);
22
        return NULL;
23
    }
24
```

// The volatile keyword forces the compiler to always reads the current value of a volatile object from the memory location rather than keeping its value in temporary register at the point it is requested

Q1: Guess what is the possible

```
int
  32
                                                 output
                                                                               code
      main(int argc, char *argv[])
  33
       {
  34
           pthread_t p1, p2;
  35
           printf("main: begin (counter = %d)\n", counter);
  36
           Pthread_create(&p1, NULL, mythread, "A");
  37
           Pthread_create(&p2, NULL, mythread, "B");
  38
  39
           // join waits for the threads to finish
  40
           Pthread_join(p1, NULL);
  41
           Pthread_join(p2, NULL);
  42
           printf("main: done with both (counter = d)\n", counter);
  43
           return 0;
  44
  45
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```





Discussion

Why not deterministic?

- The Heart Of The Problem: Uncontrolled Scheduling
- What happens when executing "counter = counter + 1;" ?
- Understand the code sequence that the compiler generates for the update to counter.

mov 0x8049a1c, %eax
add \$0x1, %eax
mov %eax, 0x8049a1c

Now, you may tell the reason



Uncontrolled Scheduling

Thr	eadl Thre	ad2		movl _c	ounte	er(%ri	.p), %e	ax
(2		/%rip-relative x86-64 code o relative addre	address ften ret ssing: a a(%rin)	sing for fers to g global This st	global va globals usi variable n tyle of ref	riables ing %rip- named a is erence
1	counte	er		supports posit	tion-ind	lepende	nt code (F	ч <i>С</i>), а
	Memory	shared varia	ble in memo	security featu independent e	re. It sp executat	ecificall bles (PIE	y support <i>s),</i> which	s position-
L		/		programs that	: work i	ndepen	dently of	where their
				code is loaded	l into m	emory.	-	
					(afte	er instru	action)	
	OS	Thread 1	Thread 2		PC	%eax	counter	
		before critical section			100	0	50	-
		mov 0x8049a1c, %ea	x		105	50	50	
		add \$0x1, %eax			108	51	50	
	interrupt save T1's state							
	restore T2's sta	te			100	0	50	
			mov 0x80	49a1c, %eax	105	50	50	
		add \$0x1, %eax			108	51	50	
			mov %eax, 0x8049a1c		113	51	51	
	interrupt save T2's state			-				
	restore T1's sta	te			108	51	51	
Operatin		mov %eax, 0x8049a1	lc		113	51	51	

Uncontrolled Scheduling

Several processes (threads) access and manipulate the same data concurrently and the outcome of the execution depends on the particular order in which the access takes place.

Result indeterminate.

Critical section



 Multiple threads executing a segment of code, which can result in a race condition.

What we want: Mutual exclusion

The property guarantees that if one thread is executing within the critical section, the others will operating Sysbem prevented from doining So.

Revisit the Threading Model

- "Data" is a public memory segment shared by all threads, which may incur race condition
- Stack is a private memory segment of a thread
- Question: What if a thread accesses the data variables on the stack of another thread?





Vhat are possible outputs of the program

```
void * helloFunc ( void * ptr ) {
    int *data;
    data = (int *) ptr;
    printf("I'm Thread %d \n", *data);
}
int main() {
  pthread t hThread[4];
  for (int i = 0; i < 4; i++)
      pthread create(&hThread[i], NULL, helloFunc, (void *)&i);
  for (int i = 0; i < 4; i++)
      pthread join(hThread[i], NULL);
  return 0;
```

注意: race condition, 多个子线程T0、T1、T2、T3同时访问主线 程栈上的局部变量 i, 导致读写冲突。



Fix the problem by threat-local states

```
void * helloFunc ( void * ptr ) {
    int *data:
    data = (int *) ptr;
    printf("I'm Thread %d \n", *data);
int main() {
    pthread t hThread[4];
    int thread name[4];
    for (int i = 0; i < 4; i++) {
        thread name[i] = i;
        pthread create(&hThread[i], NULL,
helloFunc, (void *) & thread name[i]);
    for (int i = 0; i < 4; i++)
         pthread join(hThread[i], NULL);
    return 0;
```







Chapter 4: Threads

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Windows Thread APIs

- CreateThread
- ExitThread
- TerminateThread
- GetExitCodeThread

GetCurrentThreadId - returns global ID
GetCurrentThread - returns handle
SuspendThread/ResumeThread
GetThreadTimes



Windows API Thread Creation

HANDLE CreateThread (LPSECURITY_ATTRIBUTES lpsa, DWORD cbStack, LPTHREAD_START_ROUTINE lpStartAddr, LPVOID lpvThreadParm, DWORD fdwCreate, LPDWORD lpIDThread)

cbStack == 0: thread's stack size defaults to primary thread's size

- IpstartAddr points to function declared as DWORD WINAPI ThreadFunc(LPVOID)
- IpvThreadParm is 32-bit argument
- LPIDThread points to DWORD that receives thread ID non-NULL pointer !





VOID ExitThread(DWORD devExitCode)

When the last thread in a process terminates, the process itself terminates

BOOL GetExitCodeThread (HANDLE hThread, LPDWORD lpdwExitCode)

Returns exit code or STILL_ACTIVE





Suspending and Resuming Threads

- Each thread has suspend count
- Can only execute if suspend count == 0
- Thread can be created in suspended state

DWORD ResumeThread (HANDLE hThread) DWORD SuspendThread(HANDLE hThread)







Example Explained

Main thread is process

When process goes, all threads go
 Need some methods of waiting for a thread to finish





Operating System Concepts



Waiting for a Thread

Wait for one object (thread)

DWORD WaitForSingleObject(

HANDLE hHandle,

DWORD dwMilliseconds);

Calling thread waits (blocks) until

- Time expires
 - Return code used to indicate this
- Thread exits (handle is signaled)
 - Use INFINITE to wait until thread termination

Does not use CPU cycles



Waiting for Many Threads

Wait for up to 64 objects (threads)

DWORD WaitForMultipleObjects(

DWORD nCount,

CONST HANDLE *lpHandles, // array

BOOL fWaitAll, // wait for one or all

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DWORD dwMilliseconds)

Wait for all: fWaitAll==TRUE

Wait for any: fWaitAll==FALSE

Return value is first array index found



Notes on WaitFor* Functions

- Handle as parameter
- Used for different types of objects
- Kernel objects have two states
 - Signaled
 - Non-signaled
- Behavior is defined by object referred to by handle
 - Thread: signaled means terminated



```
Example: Waiting for multiple threads
#include <stdio.h>
#include <windows.h>
const int numThreads = 4;
DWORD WINAPI helloFunc(LPVOID arg ) {
  printf("Hello Thread\n");
  return 0; }
main() {
  HANDLE hThread[numThreads];
  for (int i = 0; i < numThreads; i++)</pre>
    hThread[i] =
      CreateThread(NULL, 0, helloFunc, NULL, 0, NULL);
  WaitForMultipleObjects (numThreads, hThread,
                                        TRUE, INFINITE);
```



Example: HelloThreads

- Modify the previous example code to print out
 - appropriate "Hello Thread"message
 - Unique thread number
 - ✓ use for-loop variable of CreateThread loop

Sample output:

Hello from Thread #0 Hello from Thread #1 Hello from Thread #2 Hello from Thread #3





What's Wrong?

```
DWORD WINAPI threadFunc(LPVOID pArg) {
  int* p = (int*)pArg;
  int myNum = *p;
  printf( "Thread number %d\n", myNum);
// from main():
for (int i = 0; i < numThreads; i++) {
  hThread[i] =
     CreateThread(NULL, 0, threadFunc, &i, 0, NULL);
```

What is printed for myNum?





Hello Threads Timeline

Time	main	Thread 0	Thread 1
To	i = 0		
T ₁	create(&i)		
T ₂	i++ (i == 1)	launch	
T ₃	create(&i)	p = pArg	
T ₄	i++ (i == 2)	myNum = *p	launch
		myNum = 2	
T ₅	wait	print(2)	p = pArg
T ₆	wait	exit	myNum = *p
			myNum = 2





Race Conditions

- Concurrent access of same variable by multiple threads
 - Read/Write conflict
 - Write/Write conflict
- Most common error in concurrent programs
- May not be apparent at all times
- How to avoid data races?
 - Local storage
 - Control shared access with critical regions



```
Hello Thread: Local Storage solution
DWORD WINAPI threadFunc(LPVOID pArg)
  int myNum = *((int*)pArg);
 printf( "Thread number %d\n", myNum);
// from main():
for (int i = 0; i < numThreads; i++) {
  tNum[i] = i;
  hThread[i] =
    CreateThread(NULL, 0, threadFunc, &tNum[i],
                  0, NULL);
```



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Threading Issues

- 1. Semantics of fork() and exec() system calls.
- 2. Thread cancellation.
- 3. Signal handling
- 4. Thread pools
- 5. Thread specific data
- 6. Scheduler Activations



Semantics of fork() and exec()

- Does fork() duplicate only the calling thread or all threads?
- In a Pthreads-compliant implementation, the fork() call always creates a new child process with a single thread, regardless of how many threads its parent may have had at the time of the call.
- Furthermore, the child's thread is a replica of the thread in the parent that called fork





Thread Cancellation

Terminating a thread before it has finished

Two general approaches:

- Asynchronous cancellation terminates the target thread immediately
- Deferred cancellation allows the target thread to periodically check if it should be cancelled
 - The point a thread can terminate itself is a cancellation point.





Thread Cancellation (Cont.)

- With asynchronous cancellation, if the target thread owns some system-wide resources, the system may not be able to reclaim all recourses
- With deferred cancellation, the target thread determines the time to terminate itself. Reclaiming resources is not a problem.
- Most systems implement asynchronous cancellation for processes (e.g., use the kill system call) and threads.

Pthread supports deferred cancellation.



n example of deferred cancellation

```
#include <stdio.h>
#include <pthread.h>
#include <sys/time.h>
#include <unistd.h>
void* thread func(void* arg)
        //pthread setcancelstate(PTHREAD CANCEL DISABLE,
NULL);
        int count = 0;
        while(1) {
                //pthread testcancel();
                printf("count = %d\n", ++count);
                fflush(stdout);
                sleep(1);
                pthread testcancel();
        printf("this is a thread cancel test 111\n");
```

pthread testcancel();

An example of deferred cancellation

```
printf("test 222\n");
```

```
printf("Thread cancellation requested.
Exiting...\n");
        pthread exit(NULL);
        return NULL;
int main()
ł
        pthread t thread;
        pthread create(&thread, NULL, thread func, NULL);
        sleep(5);
        pthread cancel(thread);
        printf("call pthread cancel.\n");
        pthread join(thread, NULL);
        printf("Thread canceled successfully.\n");
        printf("end\n");
        return 0;
```

Output of deferred cancellation example

\$ gcc -o deferred_cancellation deferred_cancellation.c lpthread

- \$./deferred_cancellation
- count = 1
- count = 2
- count = 3
- count = 4
- count = 5

call pthread_cancel.

```
Thread canceled successfully.
```

end




Signal Handling

- Signals are used in UNIX systems to notify a process that a particular event has occurred
- All signals follow the same pattern:
 - 1. Signal is generated by particular event
 - 2. Signal is delivered to a process
 - 3. Signal is handled

A signal handler is used to process signals



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C program to illustrate Userdefined Signal Handler

- #define SIGHUP 1
 #define SIGINT 2
 #define SIGQUIT 3
 #define SIGILL 4
- /* Hang up the process */
- #define SIGINT 2 /* Interrupt the process */
- #define SIGQUIT 3 /* Quit the process */
- #define SIGILL 4 /* Illegal instruction. */

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#define SIGTRAP 5 /* Trace trap. */

```
#define SIGABRT 6 /* Abort. */
```

```
#include <stdio.h>
#include <signal.h>
```

```
// Handler for SIGINT, triggered by
// Ctrl-C at the keyboard
void handle_sigint(int sig) {
    printf("Caught signal %d\n", sig);
}
```

```
int main() {
```

```
signal(SIGINT, handle_sigint);
```

```
for (int i=0; 1; i++) {
        printf("hello world
%d\n", i);
        sleep(1);
    }
    return 0;
}
```





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Signal Handling (Cont.)

- How to handle a signal when its target process has multiple threads?
- Options:
 - 1. Deliver the signal to the thread to which the signal applies
 - 2. Deliver the signal to every thread in the process
 - 3. Deliver the signal to certain threads in the process
 - Assign a specific thread to receive all signals for the process



Thread Pools

Create a number of threads in a pool where they await work **Thread Pool**

Main Thread



Advantages:

 Usually slightly faster to service a request with an existing thread than create a new thread

 Allows the number of threads in the application(s) to be bound to the size of the prop

https://en.wikipedia.org/wiki/Thread_pool

Thread Specific Data

Allows each thread to have its own copy of data

- Useful when you do not have control over the thread creation process (i.e., when using a thread pool) https://en.wikipedia.org/wiki/Thread-local_storages
- Pthreads library supports thread specific data
- pthread_key_create and pthread_key_delete are used respectively to create and delete a key for thread-specific data.

https://en.wikipedia.org/wiki/Threadlocal_storage#Pthreads_implementation

		Process	s //
	Code		
	Gio	bal Variab	es
	Ρ	rocess Hea	ap
	Proc	cess Resou Open Files Heaps	rces
۱	Env	ironment B	lock
•	Env Thread 1	ironment B	lock Thread N
	Env Thread 1 Thread Local Storage	ironment B	Thread N Thread Local Storage

Thread Scheduler Activations

Background: Server-version operating systems often use many-to-many and two-level thread models

- The thread library needs to maintain the appropriate number of kernel threads allocated to the process
- Requires kernel-user space communication to do it





Operating System Concepts

Thread Scheduler Activations

- Scheduler activations provide upcalls: a communication mechanism from the kernel to the user-mode thread lib
- When the kernel knows a thread has blocked/resumed, it notifies the process' run-time system about this event
- This communication allows an application to maintain the correct number of available kernel threads



